

NZ Financial Services Industry Essentials

Purpose: This course is a compulsory element of the Certificate in Financial Services for people who intend to or are already working in the New Zealand Financial Services industry and want to establish their foundation industry knowledge.

Course content:

- » Introduction to working in the financial services industry
- » Scope, sectors and responsibilities of the financial services industry
- » Financial services industry guidelines, procedures, legislation and regulations
- » Introduction to industry products and services
- » Matching products and services with client needs
- » Maintaining product knowledge
- » Introduction to the breadth of financial planning including Investment Planning, Risk Planning, Estate Planning, Retirement Planning and Tax Planning

Learning outcomes:

On successful completion of this course, learners will be able to:

- » evaluate the scope, sectors and responsibilities of the industry
- » apply financial services industry guidelines, procedures and legislation
- » explain the products and services used by their organisation or sector
- » determine appropriate users for products and services
- » maintain their product knowledge
- » manage information
- » develop a preliminary financial plan
- » develop and maintain their personal competency

Delivery methods:

This course is designed to be delivered through a combination of individual study and in a classroom/workshop format led by an experienced facilitator. This course is also available and fully supported through the Adviserlink distance learning programme.

This course is approved by the New Zealand Qualifications Authority under the provisions of the Education Act 1989, and Adviserlink Learning Ltd is accredited to teach it.

Assessment:

Assessment for Classroom option:

Assessment	% of Overall Assessment
Pre-course assignment – Readings and short answer questions	10%
Post-course assignment – case study: Development of basic financial plan	40%
2-hour closed book examination	50%

Assessment for Distance learning option:

Assessment	% of Overall Assessment
Assignment 1 – short answer and scenario based questions	5%
Assignment 2 – short answer and scenario based questions	5%
Assignment 3 – case study: Development of basic financial plan	40%
2-hour closed book examination	50%

Pre requisite/s:

Open entry

Dates of course/s:

Registrations for distance learners are accepted at any time throughout the year. Please refer to the course calendar on our website www.adviserlink.co.nz for the date/s and location of our classroom options.

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