

Financial Plan Construction & Review

Purpose: This course aims to provide learners with the technical skills to develop a comprehensive financial plan that covers the breadth of financial planning and incorporates the six-step financial advice process.

Course content:

- » Introduction to the comprehensive financial plan, preparation and review
- » The client relationship and the needs analysis process
- » Gathering data and identifying goals, objectives and financial issues
- » Preparing recommendations
- » Writing the comprehensive financial plan
- » Implementing and reviewing the comprehensive financial plan
- » Performance review

Learning outcomes:

On successful completion of this course, learners will be able to:

- » discuss complex and in-depth financial planning issues with clients
- » interpret data and information gathered in the fact find and use this to formulate strategies and recommendations for the client
- » provide advice across the breadth of the six-step financial advice process and develop recommendations for each element
- » research and analyse client and market data and information including risks or unusual requirements
- » establish actions, timings and priorities needed to implement complex and/or an innovative financial plan
- » develop and implement a comprehensive financial plan
- » establish a review and monitoring process

Delivery methods:

This course is designed to be delivered through a combination of individual study and in a classroom/workshop format led by an experienced facilitator. This course is also available and fully supported through the Adviserlink distance learning programme.

This course is approved by the New Zealand Qualifications Authority under the provisions of the Education Act 1989, and Adviserlink Learning Ltd is accredited to teach it.

Assessment:

Assessment for Classroom option:

Assessment	% of Overall Assessment
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Pre-course assignment – readings and short answer questions	10%
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Post-course assignment – case study: Comprehensive financial plan	90%
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Assessment for Distance learning option:

Assessment	% of Overall Assessment
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Assignment 1 – short answer and scenario based questions	5%
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Assignment 2 – short answer and scenario based questions	5%
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Assignment 3 – case study: Comprehensive financial plan	90%
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Pre requisite/s:

Open entry, however, it is recommended that learners have successfully achieved competence in the learning outcomes and competency elements contained in or equivalent to the NZFS Industry Essentials and Fundamentals of Investment courses.

Dates of course/s:

Registrations for distance learners are accepted at any time throughout the year. Please refer to the course calendar on our website www.adviserlink.co.nz for the date/s and location of our classroom options.

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